



Date

Existing PMS Account Details (Mandatory)

Distributor Name

Client Name

PAN Number of the Client

PMS Account Number

Switch Request

I/we wish to switch our PMS investments, as per details enclosed below:

From Portfolio		To Portfolio
LIQUID PORTFOLIO		EQUITY PORTFOLIO
STP Mode	Weekly Monthly	Fill the number of instalments.....
Amount to be invested per instalment per Strategy		₹
STP Begin Date		D D M M Y Y Y Y (date of activation of the account)

Fees & Charges	LIQUID PORTFOLIO	EQUITY PORTFOLIO
Management Fee (P.A.) (Accrued Daily Billed Monthly)	NIL	Management Fee (P.A.) (Accrued Daily Billed Monthly).....%
Exit Charges (FIFO Method)		
If exited within 1 year	NIL	
If exited within 1-2 years	NIL	
If exited within 2-3 years	NIL	

Terms & Conditions:

Sundaram Alternates-PMS investors are requested to note the following terms and conditions with respect to availing STP (Systematic Transfer Plan) facility:

For investments made through distributor - I am / we are aware of the commission to be earned by the distributor with respect to my / our investments with Sundaram PMS.

- Strategy available: Sundaram Liquid Fund Portfolio is eligible as Transferor Strategy and the equity portfolio of client's choice as Transferee strategy.
- Date of transfer: If the fixed STP date is a holiday then the STP will be done on the next business day. STP date for monthly option – 11th of each month, for weekly option – Every Friday.
- First installment will get transferred on the day of activation. The rest will be transferred as per the STP Schedule.
- No STP from Equity scheme to Sundaram Liquid strategy will be allowed.
- No change / addition or deletion of names will be allowed in the pattern of investments.

- If there is any change / addition / deletion in the holding pattern of the investments, a fresh application with all the supporting documents will need to be resubmitted.
- Signature of all the holders of the PMS account will be required.
- Discontinuation of STP:
 - STP will be automatically terminated if total amount is withdrawn from the Transferor strategy or upon receipt of intimation of death of client.
 - Further, if the outstanding balance in transferor strategy does not cover/or is greater than the last instalment amount, the entire balance amount will be transferred to the transferee strategy (including any appreciation / depreciation in the Liquid Portfolio).
 - In case of partial closure from the transferee strategy, a minimum limit of ₹50 lakhs as residual AUM is required to be maintained in the client's overall portfolio account with Sundaram Alternates-PMS as per SEBI Guidelines.
 - Sundaram Alternates-PMS reserves the right to change / modify the terms of the STP or withdraw this facility from at any point of time.
 - All applicable taxes will be charged at prevailing rates.
 - Signature of all the holders of the PMS account is mandatory
- No withdrawal /redemptions are allowed in Liquid Portfolio.**
- No strategy changes will be permitted once STP is registered**

(Client to write in his/her own handwriting as follows: **"I/We have read and understood the fee structure & accept the same"**)

Name & Sign of First / Sole Holder	Name & Sign of Second Holder	Name & Sign of Third Holder
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