

Date | D | D | M | M | Y | Y | Y | Y

Distributor Name

Client Name

PAN of the Client

PMS Account number

**SIP – Portfolio Confirmation**

I/we wish to invest in the following portfolios through SIP mode:

**Details of SIP**

**SIP Amount Per Instalment:** Minimum ₹ 50,000/- for Weekly Option and Minimum ₹ 1,00,000/- for Monthly Option (₹)

**SIP Frequency:**

Minimum Six Instalments for both options: Please  Weekly  Monthly

**Strategy Name:**

**SIP Start Date:**

**SIP End Date:**

**SIP SPECIFIC TERMS & CONDITIONS**

1. These Terms and Conditions shall govern the Systematic Investment Plan (SIP) registration, modification and cancellation with Sundaram Alternate Assets Limited ("Portfolio Manager").
2. SIP facility can be availed only by clients who have existing investments in Portfolio Management Services of Sundaram Alternate Assets Limited.
3. SIP registration cum mandate form will be applicable only after the minimum amount prescribed by SEBI is fulfilled.
4. SIP debits will start on the due date falling after 30 days of submission of application subject to successful registration of mandate with the Bank.
5. In case of delay in registration of mandate with the Bank beyond 30 days, the start date of the SIP debit is likely to be carried forward to the next due date.
6. In case the SIP falls on a non-business day, the immediate next business day would be taken as the date for SIP.
7. For every SIP instalment, the Portfolio Manager shall deploy the funds on or after its realisation. Portfolio Manager shall not be held liable for non / delayed realisation of funds.
8. The SIP registration will be terminated after rejection of three consecutive instalments for any reasons. The registration will also be terminated immediately upon rejection of instalment for the reason "account closed".
9. The Portfolio Manager will not be held liable for any transaction failures due to rejection by the investors bank/branch.
10. Investor will not hold the Portfolio Manager and/or its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific SIP date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration / Cancellation / Rejections.
11. Any incomplete form is liable to be rejected.
12. Any correction / modifications in the form should be counter signed by the Client.
13. Request for registration of additional SIP against the existing bank mandate shall commence on due date falling after 14 business days of submission of such request (subject to available limit in the bank mandate).
14. Portfolio Manager reserves the right to change the terms and conditions from time to time.
15. Any dispute shall be subject to jurisdiction of Courts at Chennai only and shall be dealt in a manner provided in the PMS Agreement entered between the client and the Portfolio Manager.
16. All the terms and conditions of the respective Investment Approach as per the fee structure would be applicable and each SIP instalment would be processed as a top-up in the respective Investment Approach.
17. Please refer the Disclosure Document, fee structure, product information, risk factors, charges and other information.
18. Due Date – Every Friday is the due date for weekly option and 11th of every month is the due date for monthly option. If the due date falls on a non-business day, the instalment will be processed on the next business day.
19. Minimum number of six instalments are required to register the SIP.
20. Minimum amount per Instalment – Rs. 50,000/- for weekly option and Rs. 1,00,000/- for monthly option.
21. The Portfolio Manager shall not be held responsible and liable for any damages/compensation for any loss etc., incurred by the investor. The investor assumes the entire risk of using the facility of NACH/OTM and takes full responsibility for the same.
22. The Portfolio Manager reserves the right to discontinue the SIP in case of Direct Debit through NACH routes are rejected by the investor bank for any reasons.
23. The Portfolio Manager reserves the right to reject any application without assigning any reason thereof.
24. SIP cancellation can be done separately by submitting the request at least 21 business days in advance; however the associated NACH mandate can be retained for future investments.
25. Requests for any changes in the NACH Bank Mandate should be submitted at least 30 business days in advance of the next due date
26. If the SIP period mentioned in the SIP NACH/OTM form is beyond the NACH/OTM validity period, then the SIP will be registered/active till the end of validity period of the NACH/OTM, subject to applicable minimum instalment clause, if any.
27. The portfolio managers' decision (taken in good faith) in deployment of the Clients' account is absolute and final and cannot be called in question or be open to review at any time during the currency of the agreement or any time thereafter except on the ground of malafide, fraud, conflict of interest or gross negligence.

I/We agree and understand the terms and conditions mentioned above. I/We understand that the amount transferred towards corpus shall be considered to be credited to my/our PMS account only on realization of funds. I/We understand the investment objectives of the strategy and have read and understood the Disclosure Document. I/We understand that the Portfolio Investment Management Agreement and Supplemental Agreement (if any) entered into between me/us and Sundaram Alternate Assets Limited shall continue to remain in force and shall be applicable to my/our investments in the above mentioned "Strategy" as well. Further, I/We confirm that I/We have read and understood the schedule of fees which shall be applicable to me/us hereafter with regard to my/our investment in the said strategy. I/We agree and confirm that the fees and charges charged by the Portfolio Manager for providing me/us the Portfolio Management Services with respect to the Investment Strategies availed by me as per the PMS fee schedule(s) signed by me/us from time to time shall continue to remain applicable.

Name & Sign of First / Sole Holder	Name & Sign of Second Holder	Name & Sign of Third Holder
------------------------------------	------------------------------	-----------------------------